

# The DS conjectures

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# Motivation

Let  $p$  be a rational prime. Given an odd continuous representation

$$\bar{\rho} : \text{Gal}(\overline{\mathbb{Q}}/\mathbb{Q}) \rightarrow \text{GL}_2(\overline{\mathbb{F}}_p),$$

Serre (as documented by Edixhoven) conjectured: there should be

- ▶  $k = k(\bar{\rho}) \geq 1$ ,
- ▶  $N = N(\bar{\rho}) \geq 1$  the prime-to- $p$  Artin conductor,
- ▶ an eigenform  $f$  in  $H^0(\overline{X}_{\Gamma_1(N)}, \omega^k(-Z_{\Gamma_1(N)}))$ ,

$$Z_{\Gamma_1(N)} = X_{\Gamma_1(N)} - Y_{\Gamma_1(N)},$$

whose associated mod  $p$  Galois representation  $\bar{\rho}_f$  is isomorphic to  $\bar{\rho}$ .

$$k(\bar{\rho})$$

The predicted weight  $k(\bar{\rho})$  depends only on  $\bar{\rho}|_{D_p}$  and is defined purely in terms of  $\bar{\rho}$  (as in Hanneke's talk).

If we assume  $\bar{\rho}$  is modular, we can see a few glimpses of the **geometric** picture I would like to promote today.

$k(\bar{\rho})$  is minimal

Theorem (Coleman-Voloch/Gross/Edixhoven/Serre)

Suppose that there exists a cuspidal eigenform  $f$  as above such that  $\bar{\rho}_f \simeq \bar{\rho}$ . Then

$$k(\bar{\rho}) = w(f)$$

where  $w(f)$  is the Hasse weight filtration:

$$w(f) = \min\{k - (p-1)v \mid H^{-v}f \in H^0(\bar{X}_{\Gamma_1(N_f)}, \omega^{k-(p-1)v})\}$$

(as in Payman's talk) and  $k(\bar{\rho})$ , as a result, is the minimal possible weight of mod  $p$  cuspidal eigenforms that give rise to  $\bar{\rho}$ .

## Remark

The proof makes appeal to the idea of  $\theta$ -cycles:

$$\{w(\theta(f)), \dots, w(\theta^{p-1}(f))\}$$

subject to

- ▶  $w(\theta^p f) = w(\theta f)$ ,
- ▶  $w(\theta(f)) \leq w(f) + p + 1$  and the equality holds if and only if  $p$  does not divide  $w(f)$ .

# Today

The DS paper is in some sense motivated to generalise the theorem to a (general) totally real field. Issues to overcome that I will highlight today are as follows:

- ▶ Lifting mod  $p$  HMFs to char 0 is no longer just about weight 1 forms.
- ▶ Mod  $p$  modular Galois representations.
- ▶ Partial weight one forms.
- ▶ Compatibility with other mod  $p$  modularity of  $\bar{\rho}$  (i.e., BDJ/algebraic modularity of  $\bar{\rho}$ ).

## A set of notation

Fix  $\overline{\mathbb{Q}}, \overline{\mathbb{Q}}_p, \overline{\mathbb{F}}_p,$

$$\iota : \overline{\mathbb{Q}} \hookrightarrow \overline{\mathbb{Q}}_p,$$

$$j : \overline{\mathbb{Q}} \hookrightarrow \mathbb{C}.$$

Let  $F$  be a totally real field. Assume  $[F : \mathbb{Q}] = d > 1$  and  $p$  is inert in  $F$  for simplicity (DS-II deals with the general ramified case). Let  $\mathbb{F}$  denote the residue field  $\mathcal{O}_F/p$ .

Let

$$\Sigma = \text{Hom}_{\mathbb{Q}}(F, \overline{\mathbb{Q}});$$

$$\iota \circ \Sigma = \text{Hom}_{\mathbb{Q}}(F, \overline{\mathbb{Q}}_p) \simeq \text{Hom}_{\mathbb{F}_p}(\mathbb{F}, \overline{\mathbb{F}}_p)$$

$$j \circ \Sigma = \text{Hom}_{\mathbb{Q}}(F, \mathbb{R})$$

The Frobenius  $\Phi$  acts on  $\iota \circ \Sigma$ . If we fix  $\tau$  in  $\iota \circ \Sigma$ ,

$$\iota \circ \Sigma = \{\tau, \Phi \circ \tau, \dots, \Phi^{f-1} \circ \tau\} \simeq \mathbb{Z}/f\mathbb{Z}$$

where  $|\mathbb{F}| = p^f$ .

Finally, let

$$G = \text{Res}_{F/\mathbb{Q}} \text{GL}_2.$$

By slight abuse of notation, it will also mean its model:

$$G(\mathbb{F}_p) = \text{GL}_2(\mathbb{F}).$$



## A quick recap

Let

$$\bar{\rho} : \text{Gal}(\bar{F}/F) \rightarrow \text{GL}_2(\bar{\mathbb{F}}_p)$$

be totally odd and continuous.

### Definition

Let  $V$  be a finite-dimensional  $\bar{\mathbb{F}}_p$ -representation of  $G(\mathbb{F}_p)$ . We say that  $\bar{\rho}$  is **algebraic modular of weight  $V$**  if there exist

- ▶ a quaternion algebra  $D$  over  $F$  split at  $p$  and ramified at all but one place in  $j \circ \Sigma$ ,
- ▶ a sufficiently small open compact subgroup  $U \subset (D \otimes_F \mathbb{A}_F^\infty)^\times$  ( $\rightsquigarrow$  the Shimura curve  $Y_U$  over  $F$ ) such that
  - ▶  $U$  is of level prime to  $p$  (i.e.  $G(\mathbb{Z}_p) \subset U$ ),
  - ▶ if  $U^+ = \ker(U \rightarrow G(\mathbb{F}_p))$ , then  $Y_{U^+} \rightarrow Y_U$  is étale of degree equal to  $|G(\mathbb{F}_p)|$

such that  $\bar{\rho}$  is an  $\bar{\mathbb{F}}_p[\text{Gal}(\bar{F}/F)]$ -subquotient of  $H_{\text{ét}}^1(Y_U \times \bar{F}, \mathcal{V})(1)$ .

## Lemma

$\bar{\rho}$  is algebraic modular of weight  $V$  (as above) if and only if  $\bar{\rho}$  is algebraic modular of weight some Jordan-Holder factor of  $V$ .

A finite-dimensional **irreducible**  $\bar{\mathbb{F}}_p$ -representation  $V$  of  $G(\mathbb{F}_p)$  is of the form

$$V_{k,\ell} = \bigotimes_{\tau \in \iota_0 \Sigma} \det^{\ell_\tau} \text{Sym}^{k_\tau - 2}(V_{st} \otimes_{\mathbb{F}, \tau} \bar{\mathbb{F}}_p),$$

for  $(k, \ell) \in \mathbb{Z}^{\iota_0 \Sigma} \times \mathbb{Z}^{\iota_0 \Sigma}$ , where  $0 \leq \ell_\tau, k_\tau - 2 \leq p - 1$  but the  $\ell_\tau$  are not simultaneously  $p - 1$  for all  $\tau$ , and is often called a **Serre weight**.

# The Buzzard-Diamond-Jarvis conjecture

Given  $\bar{\rho}$ , the BDJ paper defines a set of Serre weights

$$W(\bar{\rho})$$

as in Fred's talk (an example in the case  $[F : \mathbb{Q}] = 2$ ) and conjectures:

**Conjecture (BDJ)**

$W(\bar{\rho}) = \{\text{Serre weight } V \mid \bar{\rho} \text{ is algebraic modular of weight } V\}.$

# Work of Gee et.al.

## Theorem (Gee-Liu-Savitt,...)

Suppose that  $p > 2$ . The BDJ conjecture holds if

- ▶  $\bar{\rho}$  is modular,
- ▶  $\bar{\rho}$  is irreducible when restricted to  $\text{Gal}(\bar{F}/F(\zeta_p))$ ,
- ▶ when  $p = 5$ , the projective image of  $\bar{\rho}|_{\text{Gal}(\bar{F}/F(\zeta_p))}$  is not  $A_5$ .

When  $p$  is unramified in  $F$ , GLS (JAMS) proves the BDJ conjecture in the unitary case. In this case, the BDJ conjecture is deduced by Gee-Kisin/Newton via ‘mod  $p$  Langlands transfer (from unitary to quaternionic)’.

## Set-up for the DS conjecture

Let  $\Gamma$  be an open compact subgroup of  $G(\mathbb{A}^\infty)$  that is maximal compact hyperspecial at  $p$  and 'sufficiently small'. There exist

- an integral model  $Y_\Gamma$  over  $\overline{\mathbb{Z}}_p$  of level  $\Gamma$  for

$$Y_\Gamma(\mathbb{C}) = G(\mathbb{Q}) \backslash G(\mathbb{A}) / \Gamma(\mathbb{R}^\times \mathrm{SO}_2(\mathbb{R}))^\Sigma$$

- an automorphic bundle  $\mathcal{A}_{(k,\ell)}$  associated to  $(k,\ell) \in \mathbb{Z}^{i_0\Sigma} \times \mathbb{Z}^{i_0\Sigma}$ :

$$\mathcal{A}_{(k,\ell)} = \bigotimes_{\tau} \omega_{\tau}^{k_{\tau}} \otimes \delta_{\tau}^{\ell_{\tau}}$$

where

$$\omega = s_* \Omega_{A/Y_\Gamma} = \bigoplus_{\tau} \omega_{\tau}$$

and

$$\delta = \bigwedge_{\theta_F \otimes_{\mathbb{Z}} \theta_p}^2 R^1 s_* \Omega_{A/Y_\Gamma}^\bullet = \bigoplus_{\tau} \delta_{\tau}.$$

## Example ( $\ell = 0$ )

In stark contrast to the case  $F = \mathbb{Q}$ , there are lots of mod  $p$  Hilbert modular forms of 'negative weights'.

### Example

For every  $\tau$  in  $\iota \circ \Sigma$ , let  $H_\tau \in H^0(Y_\Gamma \times \overline{\mathbb{F}}_p, \omega_{\Phi^{-1} \circ \tau}^p \otimes \omega_\tau^{-1})$  denote the **partial Hasse invariant** at  $\tau$  of weight

$$h_\tau = (0, \dots, 0, p, -1, 0, \dots, 0)$$

where  $p$  (resp.  $-1$ ) sits at  $\Phi^{-1} \circ \tau$  (resp.  $\tau$ ).

# Mod $p$ modular Galois representations

## Theorem (DS)

Let  $f$  be an element  $H^0(Y_\Gamma \times \overline{\mathbb{F}}_p, \mathcal{A}_{(k,\ell)})$  and  $S$  be a finite set of finite places in  $F$ , containing all  $v$  dividing  $p$  and all  $v$  such that  $\mathrm{GL}_2(\mathcal{O}_{F_v}) \not\subset \Gamma$ .

Suppose that

$$T_v f = \alpha_v f$$

and

$$S_v f = \beta_v f$$

for all  $v$  not in  $S$ . Then there exists a continuous representation

$$\bar{\rho}_f : \mathrm{Gal}(\overline{F}/F) \rightarrow \mathrm{GL}_2(\overline{\mathbb{F}}_p)$$

which is unramified outside  $S$  and the characteristic polynomial in  $X$  of  $\bar{\rho}_f(\mathrm{Frob}_v)$  is

$$X^2 - \alpha_v X + \beta_v \mathbf{N}_{F/\mathbb{Q}}(v).$$

## Remark

The novelty of our theorem is that  $(k, \ell)$  does not have to satisfy the **parity condition** that  $k_\tau + 2\ell_\tau$  is independent of  $\tau$  in  $\Sigma$ . The parity case is known by Emerton-Reduzzi-Xiao and Goldring-Koskivirta.



## Idea of our proof

Recall from Fred's remark: not every  $\bar{\rho}$  arises as the reduction of a characteristic zero eigenform of level prime to  $p$  (unless it is expected to be modular of paritious weight).

The idea then is to establish congruences, i.e., find an eigenform of parallel weight  $N + 2$  (to be specified) and level  $\Gamma \cap \Gamma_1(p)$  which is congruent mod  $p$  to  $f$  and which can be lifted to a characteristic zero eigenform when  $N$  is sufficiently large (the ampleness of a line bundle over  $X_{\Gamma \cap \Gamma_1(p)}$ ).

The '+2' in weight comes from a dualising/regular differential sheaf and '+ $N$ ' is a piece of apparatus needed for lifting forms of weight that is not regular and not paritious.

## Preliminary reduction steps

- $\Gamma$  may be taken to be a principal congruence subgroup of level prime to  $p$ ,
- by twisting if necessary, WLOG  $\ell_\tau = -1$  for every  $\tau$  in  $\iota \circ \Sigma$ ,
- (can always) find  $N \in \mathbb{Z}$  and  $r \in \mathbb{Z}^{\iota \circ \Sigma}$  such that  $0 \leq r_\tau \leq p - 1$  but not all  $r_\tau$  are simultaneously  $p - 1$  such that  $k - (N + 2 - r)$  is a linear combination of  $h_\tau$ 's. Multiplying  $H_\tau$ 's defines an injection of global sections  $\rightsquigarrow k = N + 2 - r$ .

[• the Katz-Mazur-Pappas covering

$$\pi : Y_{\Gamma \cap \Gamma_1(p)} \rightarrow Y_\Gamma$$

with extension

$$\pi : X_{\Gamma \cap \Gamma_1(p)} \rightarrow X_\Gamma$$

to minimal compactifications]

- observe that there is a Hecke equivariant injection

$$H^0(\bar{Y}_\Gamma, \mathcal{A}_{(k,-1)}) \hookrightarrow H^0(\bar{Y}_{\Gamma \cap \Gamma_1(p)}, \mathcal{A}_{(N+2,-1)})$$

with its image contained in

$$H^0(\bar{X}_{\Gamma \cap \Gamma_1(p)}, \bar{\iota}_* \bar{K} \otimes_{\mathcal{O}_{\bar{X}_{\Gamma \cap \Gamma_1(p)}}} \bar{\omega}^N) \subset H^0(\bar{X}_{\Gamma \cap \Gamma_1(p)}, \bar{\iota}_* \bar{K} \otimes_{\mathcal{O}_{\bar{X}_{\Gamma \cap \Gamma_1(p)}}} \bar{\omega}^N) \\ \parallel \\ H^0(\bar{Y}_{\Gamma \cap \Gamma_1(p)}, \mathcal{A}_{(N+2,-1)})$$

where

- ▶  $\iota : Y_{\Gamma \cap \Gamma_1(p)} \hookrightarrow X_{\Gamma \cap \Gamma_1(p)}$ ,
- ▶  $K$  denotes the dualising sheaf over the Cohen-Macaulay scheme  $Y_{\Gamma \cap \Gamma_1(p)}$ ,
- ▶ and  $\omega = \mathcal{A}_{(1,0)}$  is the **ample** line bundle  $\pi^* (\bigotimes_{\tau} \omega_{X_{\Gamma, \tau}})$ .

Interlude: where does this injection come from? There is a component

$$\begin{array}{ccc}
 \overline{Y}_{\Gamma \cap \Gamma_1}^R & \xrightarrow{\gamma} & \overline{Y}_{\Gamma \cap \Gamma_1}(p) \\
 \downarrow & & \downarrow \\
 \overline{Y}_{\Gamma \cap \Gamma_0}^R & \xrightarrow{(A, \ker F) \leftarrow A} & \overline{Y}_{\Gamma} \\
 & \simeq & 
 \end{array}$$

which may be described in terms of **Raynaud bundles**:

$$\overline{Y}_{\Gamma \cap \Gamma_1}^R = \underline{\text{Spec}} \left( \text{Sym}_{\mathcal{O}_{\overline{Y}_{\Gamma}}} \left( \bigoplus_{\tau \in J \circ \Sigma} \overline{\omega}_{\tau} \right) / \langle \{ \overline{\omega}_{\tau}^p \}_{\tau \in J \circ \Sigma}, \bigotimes_{\tau} \overline{\omega}_{\tau}^{p-1} \rangle \right).$$

The push-forward is a union of automorphic bundles over  $\overline{Y}_{\Gamma}$ :

$$(\pi \circ \gamma)_* \mathcal{O}_{\overline{Y}_{\Gamma \cap \Gamma_1}^R} \simeq \bigoplus_r \mathcal{A}(r, 0)$$

where  $r$  ranges over  $\mathbb{Z}^{J \circ \Sigma}$  such that  $0 \leq r_{\tau} \leq p - 1$  but the  $r_{\tau}$  are not simultaneously  $p - 1$ .



Theorem (DKS)

$$R^r \pi_* K = 0$$

for  $r > 0$ .

- the theorem implies  $R^1\pi_*(\iota_*K) = 0$ , hence  $\pi_*(\iota_*K) \rightarrow \pi_*(\overline{\iota_*K})$  is surjective and, combined with the ampleness of  $\omega$ , it follows that

$$\begin{array}{ccc}
 H^0(X_\Gamma, \pi_*\iota_*K \otimes_{\mathcal{O}_{X_\Gamma}} \omega^N) & \longrightarrow & H^0(X_\Gamma, \pi_*(\overline{\iota_*K}) \otimes_{\mathcal{O}_{X_\Gamma}} \overline{\omega}^N) \\
 \parallel & & \parallel \\
 H^0(X_{\Gamma \cap \Gamma_1(p)}, \mathcal{A}_{(N+2, -1)}) & \longrightarrow & H^0(\overline{X}_{\Gamma \cap \Gamma_1(p)}, \overline{\iota_*K} \otimes_{\mathcal{O}_{\overline{X}_{\Gamma \cap \Gamma_1(p)}}} \overline{\omega}^N)
 \end{array}$$

is **surjective** when  $N$  is sufficiently large, because

$$H^1(X_\Gamma, \pi_*\iota_*K \otimes_{\mathcal{O}_{X_\Gamma}} \omega^N) = 0.$$

- there exists a Galois representation associated to an eigenform in  $H^0(X_{\Gamma \cap \Gamma_1(p)}, \mathcal{A}_{(N+2, -1)})[1/p]$  by work of Carayol, Taylor,....

# The folklore conjecture

## Conjecture (DS)

Every irreducible, continuous, totally odd representation

$$\bar{\rho} : \text{Gal}(\bar{F}/F) \rightarrow \text{GL}_2(\bar{\mathbb{F}}_p)$$

is modular (of some weight) in our sense.

How can we make this statement, i.e., the weight part, more precise? We will do this in terms of the behaviour of  $\bar{\rho}_p = \bar{\rho}|_{D_p}$ .



# In preparation for formulating the DS conjecture (the DK cone)

## Definition (Diamond-Kassaei cones)

Let

$$\Xi = \left\{ k = \sum_{\tau \in {}^1\mathcal{O}\Sigma} k_{\tau} \tau \mid \rho k_{\tau} \geq k_{\Phi^{-1}\mathcal{O}\tau} \right\} \subset \mathbb{Z}^{{}^1\mathcal{O}\Sigma}$$

and

$$\Xi^+ = \{ k \in \Xi \mid k_{\tau} \geq 1 \}.$$

Define

$$k \succeq k'$$

if  $k - k'$  is a non-negative linear combination of the weights  $h_{\tau}$  of the partial Hasse invariants.

# Conjecture

## Conjecture (DS)

Let

$$\bar{\rho} : \text{Gal}(\bar{F}/F) \rightarrow \text{GL}_2(\bar{\mathbb{F}}_p),$$

totally odd, continuous, irreducible.

Fix  $\ell$  in  $\mathbb{Z}^{\text{vo}\Sigma}$ . Then there exists  $k(\bar{\rho}, \ell)$  lying in  $\Xi^+$  satisfying the following conditions:

- ▶  $\bar{\rho}$  is modular of weight  $(k, \ell)$  if and only if  $k \succeq k(\bar{\rho}, \ell)$
- ▶ if  $k \in \Xi^+$ , then  $k \succeq k(\bar{\rho}, \ell)$  if and only if  $\bar{\rho}|_{\text{Gal}(\bar{\mathbb{Q}}_p/F_p)}$  has a crystalline lift of weight  $(k, \ell)$ , i.e. of Hodge-Tate weight  $(k + \ell - 1, \ell)$ .

## Remark

Assuming  $\bar{\rho}$  is (geometrically) modular, the existence of  $k(\bar{\rho}, \ell)$  is suggested by DK– the weight filtration  $w(f)$  of a mod  $p$  HMF  $f$  lies in  $\Xi$  (as explained in Payman’s talk).

## The first condition: 'minimality'

Assuming the existence of  $k(\bar{\rho}, \ell) \in \Xi^+$  satisfying the first condition, one sees that

- the conjecture implies the folklore conjecture earlier,
- the conjecture (i.e. the second condition) boils down to

### Conjecture (DS)

If  $k \in \Xi^+$ , then  $\bar{\rho}$  is modular of weight  $(k, \ell)$  if and only if  $\bar{\rho}_p$  has crystalline lift of weight  $(k, \ell)$ .

## The second condition: $p$ -adic Hodge-theory

The **second** condition is suggested by the Breuil-Mézard conjecture and modular representation theory of  $G(\mathbb{F}_p)$ – it is the underlying theme of DKS.

The qualification ' $k \in \Xi^+$ ' in the second condition is needed– if  $k \notin \Xi^+$ , the condition  $k \succeq k(\bar{\rho}, \ell)$  does not imply that  $\bar{\rho}_p$  has crystalline lift of weight  $(k, \ell)$ .

# Algebraic modularity = geometric modularity

## Conjecture (DS)

Let  $(k, \ell) \in \mathbb{Z}^{\iota \circ \Sigma} \times \mathbb{Z}^{\iota \circ \Sigma}$  and  $k_\tau \geq 2$  for every  $\tau$  in  $\iota \circ \Sigma$ . If  $\bar{\rho}$  is algebraic modular of weight  $(k, \ell)$ , i.e., of weight

$$V_{k, 1-k-\ell} = \bigotimes_{\tau} \det^{1-k_\tau-\ell_\tau} \text{Sym}^{k_\tau-2}(V_{st} \otimes_{\tau} \overline{\mathbb{F}}_p),$$

then  $\bar{\rho}$  is modular of weight  $(k, \ell)$ .

Furthermore, if  $k \in \Xi^+$ , the converse holds.

## Remarks

We know that if  $\bar{\rho}$  is algebraic modular of paritious weight  $(k, \ell)$ , then  $\bar{\rho}$  is modular of weight  $(k, \ell)$ .

By our construction of modular Galois representations, if  $\bar{\rho}$  is modular of some weight,  $\bar{\rho}$  is algebraic modular of some weight.

The DKS paper was motivated by this conjecture.

## Example 1: $F = \mathbb{Q}$ and $\ell = 0$

$$\Xi^+ = \{k \geq 1\},$$

$$k \succeq k' \text{ if } k - k' = (p - 1)v$$

for a non-negative integer  $v$ .

There exists  $k(\bar{\rho}) \geq 1$  such that the following are equivalent: for every  $k \geq 1$ ,

- ▶  $\bar{\rho}$  is modular of weight  $k$ ,
- ▶  $k \succeq k(\bar{\rho})$ ,
- ▶  $\bar{\rho}_p$  has a crystalline lift of weight  $(k - 1, 0)$ ,

$\rightsquigarrow k(\bar{\rho})$  is the smallest possible weight for which  $\bar{\rho}$  is modular (see Hanneke's talk).



## Example 2: $[F : \mathbb{Q}] = 2$ and $\ell = 0$

Fix  $\tau$  in  $\iota \circ \Sigma$ . Let

$$\iota \circ \Sigma = \{\tau, \Phi \circ \tau = \Phi^{-1} \circ \tau\}.$$

### Theorem (DS)

Let  $2 \leq r \leq p$  and suppose that  $r$  is odd. Suppose that  $\bar{\rho} : \text{Gal}(\bar{F}/F) \rightarrow \text{GL}_2(\bar{\mathbb{F}}_p)$  is irreducible and **modular**.

If  $\bar{\rho}_p$  has crystalline lift of weight

$$((r, 1), (0, 0))$$

(=HT weight  $((r-1, 0), (0, 0))$ ) then  $\bar{\rho}$  is modular of weight

$$((r, 1), (0, 0)).$$

$[\tau]$ -labelled weights are always 'on the left'

## Proof (Sketch)

For brevity, we only sketch out proof when  $2 < r$ ; the case  $r = 2$  is similar. We furthermore assume that  $\bar{\rho}$  is of Taylor-Wiles type—the exceptional case can be dealt with by an ad hoc argument.

## Step 1

Given that  $\bar{\rho}_p$  has crystalline lift of weight

$$((r, 1), (0, 0)),$$

we deduce from  $p$ -adic Hodge theory that  $\bar{\rho}_p$  also has crystalline lifts of weight

$$(k, \ell) = ((r - 1, p + 1), (0, 0))$$

and

$$(k', \ell') = ((r + 1, p + 1), (-1, 0)).$$

# ' $p$ -adic Hodge theory'?

For example, suppose  $\bar{\rho}_p$  is reducible and is of the form  $\begin{pmatrix} \bar{\chi}_1 & * \\ 0 & \bar{\chi}_2 \end{pmatrix}$

where

- ▶  $\bar{\chi}_1|_I = 1$  (i.e. unramified),
- ▶  $\bar{\chi}_2|_I = \lambda_\tau^{1-r}$ , where  $\lambda_\tau$  denotes the  $\tau$ -fundamental character,
- ▶  $\bar{\chi} = \bar{\chi}_1/\bar{\chi}_2$  has a crystalline lift  $\chi = \chi_{(1-r,0)}$  of Hodge-Tate type  $(1-r, 0)$  and  $\overline{\text{BK}}_\chi$  contains  $*$ , where

$$\begin{array}{ccccc} H^1(F_p, \overline{\mathbb{Q}}_p(\chi)) & \leftarrow & H^1(F_p, \overline{\mathbb{Z}}_p(\chi)) & \rightarrow & H^1(F_p, \overline{\mathbb{F}}_p(\chi)) \\ \cup & & \cup & & \cup \\ H_{\text{BK}}^1(F_p, \overline{\mathbb{Q}}_p(\chi)) & \leftarrow & \text{BK}_\chi & \rightarrow & \overline{\text{BK}}_\chi \end{array}$$

Then it follows from

$$\lambda_T^{r-1} = \lambda_T^{r-2} \lambda_{\Phi \circ T}^p$$

and

$$\lambda_T^{r-1} = \lambda_T^r \lambda_{\Phi \circ T}^{-p}$$

that  $\bar{\chi}$  has crystalline lifts  $\chi_{(2-r, -p)}$  and  $\chi_{(-r, p)}$  of Hodge-Tate type

$$(2 - r, -p)$$

and

$$(-r, p)$$

respectively, and one can check in both cases that

$$* \in \overline{\text{BK}}_{\chi_{(2-r, -p)}} = H^1(F_p, \overline{\mathbb{F}}_p(\chi_{(2-r, -p)}))$$

and

$$* \in \overline{\text{BK}}_{\chi_{(-r, p)}} = \overline{\text{BK}}_{\chi}.$$

## Step 2: algebraic companion forms

By work of Gee and his collaborators on the BDJ conjecture that  $\bar{\rho}$  is **algebraic** modular of weight  $(k, \ell)$  (=Serre weight  $V_{k,1-k-\ell}$ ) and  $(k', \ell')$ .

## Step 3: geometric companion forms

Since  $r$  and  $1$  are paritious,  $\bar{\rho}$  is **geometric** modular of weight  $(k, \ell)$  and  $(k', \ell')$ .

## Step 4: combinatorics

Let  $f$  (resp.  $f'$ ) be a geometric HMF of weight  $(k, \ell)$  (resp.  $(k', \ell')$ ) such that  $\bar{\rho}_f \simeq \bar{\rho}$  (resp.  $\bar{\rho} \simeq \bar{\rho}_{f'}$ ). One observes

- ▶  $\theta_\tau(f)$  is of weight

$$((r-1, p+1), (0, 0)) + ((1, p), (-1, 0)) = ((r, 2p+1), (-1, 0)),$$

- ▶  $f'H_\tau$  is an eigenform of weight

$$((r+1, p+1), (-1, 0)) + ((-1, p), (0, 0)) = ((r, 2p+1), (-1, 0)),$$

- ▶  $\theta_\tau(f) = f'H_\tau$ .



# $\theta$ -operators/cycles

## Theorem (AG)

For any HMF  $f$  of weight  $(k, \ell)$ , the image  $\theta_\tau(f)$  is divisible by  $H_\tau$  if and only if  $f$  is divisible by  $H_\tau$  or  $p$  divides  $k_\tau$ .

## Step 5: a mod $p$ HMF of partial weight one

Deduce from the theorem that  $f$  is divisible by  $H_\tau$ . The HMF  $f/H_\tau$  of weight

$$((r-1, p+1), (0,0)) - ((-1, p), (0,0)) = ((r, 1), (0,0))$$

is what we are looking for.